PTO/SB/08a (08-03.)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		
INFORMATION DIGGL COURT	Filing Date		2006-09-22
INFORMATION DISCLOSURE	First Named Inventor	Sick	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
(Not for eachings) and or or or it may	Examiner Name		<del>.</del>
	Attorney Docket Numb	er	72.112

					U.S.I	PATENTS			Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	Date	of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1											
If you wisl	h to a	dd additional U.S. Pater							Add			
			U.S.P.	ATENT	APPLI	CATION PUB	LICATIONS		Remove			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ation	Name of Pat of cited Docu	entee or Applicant ument	Releva	ges,Columns,Lines where evant Passages or Relevant ures Appear			
	1											
If you wisl	h to a	dd additional U.S. Publi	shed Ap	plication	n citation	n information	please click the Add	d button	Add	_		
				FOREIG	GN PAT	ENT DOCUM	IENTS		Remove			
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>		Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document	e or V	Pages,Columns,Lines where Relevant Passages or Relevan Figures Appear	T5		
	1	805 643	GB ,		A	1958-12-10 Losenhausenwerk Dusseldorfer Maschinenbau AG						
	2	864 263	DE		С	1953-01-22	Gebrueder Wacker					
	3	2002/0035005	0035005 WO		A	2002-05-02	Wacker-Werke Gm Co. KG	nbH &				

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number			
Filing Date		2006-09-22	
First Named Inventor	Sick		
Art Unit			
Examiner Name		1 4 4	
Attorney Docket Numb	er	72.112	

If you wis	h to a	dd additior	al Foreigr	n Patent	Docun	ment c	citatio	n info	rmatio	n plea	ase c	lick th	ne Ad	d butte	on [	Add	]			
				N	ION-P	ATEN	IT LIT	ERA	TURE	DOC	UME	NTS			F	Remove	€			
Examiner Initials* Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.										em	<b>T</b> 5									
	1																			
If you wis	h to a	l dd additior	al non-pa	tent liter	rature c	docum	nent c	itatio	n infor	mation	n ple	ase c	lick th	e Add	butto	on A	dd			
						EXA	AMIN	ER S	IGNA <sup>*</sup>	URE										
Examiner	Signa	iture									D	ate C	onsid	lered						
		itial if refe conformar																roug	jh a	
Standard S <sup>-1</sup> Kind of do	T.3). <sup>3</sup> F cument	f USPTO Pa For Japanese by the appro anslation is a	patent docu priate symbo	ments, the	e indicat	tion of t	the yea	r of the	e reign (	f the Er	mpero	r must	preced	de the s	erial n	umber o	f the	pater	nt doc	ument.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number			
Filing Date		2006-09-22	
First Named Inventor	Sick		
Art Unit			
Examiner Name		1 4 4	
Attorney Docket Numb	er	72.112	

		CERTIFICATIO	N STATEMENT						
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selec	tion(s):						
That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OF	t								
	foreign patent of after making rea any individual d	information contained in the information of fice in a counterpart foreign application, a sonable inquiry, no item of information con esignated in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	nd, to the knowledge of th tained in the information di	ne person signing the certification sclosure statement was known to					
	See attached ce	rtification statement.							
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewi	th.						
$\checkmark$	None								
	signature of the ap n of the signature.	plicant or representative is required in acco	ATURE rdance with CFR 1.33, 10.1	18. Please see CFR 1.4(d) for the					
Sign	nature	/Timothy E. Newholm/	Date (YYYY-MM-DD)	2006-09-22					
Nan	ne/Print	Timothy E. Newholm	Registration Number	34400					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
  negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
  may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
  to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.